

# The Boltzmann equation for photons

The left-hand side of the Boltzmann equation can now be written in terms of **partial derivatives** for the *seven* independent variables ( $t, x^i, p^i$ ):

$$\frac{df}{dt} = \frac{\partial f}{\partial t} + \frac{\partial f}{\partial x^i} \cdot \frac{dx^i}{dt} + \underbrace{\frac{\partial f}{\partial p} \frac{dp}{dt}}_{\text{magnitude of momentum}} + \underbrace{\frac{\partial f}{\partial \hat{p}^i} \cdot \frac{d\hat{p}^i}{dt}}_{\text{direction of momentum}}$$

The equilibrium distr. function (Bose-Einstein) depends only on *magnitude* of  $p$ , not the direction (isotropy).

Therefore  $\partial f / \partial p^i$  is non-zero only for perturbed  $f$ , i.e. first order.  
Similarly,  $dp^i/dt$  is zero for non-perturbed metric. So the product,

$$\frac{\partial f}{\partial \hat{p}^i} \cdot \frac{d\hat{p}^i}{dt}$$

is a second-order term and can be neglected.

# The Boltzmann equation for photons

We are left with: 
$$\frac{df}{dt} = \frac{\partial f}{\partial t} + \frac{\partial f}{\partial x^i} \cdot \frac{dx^i}{dt} + \frac{\partial f}{\partial p} \frac{dp}{dt}$$

Now consider the second term: 
$$\frac{dx^i}{dt} = \frac{dx^i}{d\lambda} \frac{d\lambda}{dt}$$

From definition of four-momentum,

$$\frac{dx^0}{d\lambda} = \frac{dt}{d\lambda} = P^0 \quad \text{and} \quad \frac{dx^i}{d\lambda} = P^i$$

We already found that 
$$P^0 = p(1 - \Psi)$$

Similarly, for  $i=1..3$ , one finds 
$$P^i \approx p\hat{p}^i \frac{1 - \Phi}{a}$$

So 
$$\frac{dx^i}{dt} = \frac{P^i}{P^0} = \frac{\hat{p}^i}{a} (1 - \Phi) / (1 - \Psi) \approx \frac{\hat{p}^i}{a} (1 + \Psi - \Phi)$$

# The Boltzmann equation for photons

We are left with:

$$\frac{df}{dt} = \frac{\partial f}{\partial t} + \frac{\partial f}{\partial x^i} \cdot \frac{dx^i}{dt} + \frac{\partial f}{\partial p} \frac{dp}{dt}$$

$$\frac{dx^i}{dt} \approx \frac{\hat{p}^i}{a} (1 + \Psi - \Phi)$$

Again,  $\partial f / \partial x^i$  is zero ( $f$  independent of  $x^i$ ) for the unperturbed solution, so the terms involving  $(\partial f / \partial x^i)(\Phi)$  and  $(\partial f / \partial x^i)(\Psi)$  are second-order and can be neglected.

Then

$$\frac{df}{dt} = \frac{\partial f}{\partial t} + \frac{\hat{p}^i}{a} \frac{\partial f}{\partial x^i} + \frac{\partial f}{\partial p} \frac{dp}{dt}$$

Next:  $dp/dt$  ...

# The Boltzmann equation for photons

We now need the geodesic equation,

$$\frac{d^2 x^\mu}{d\lambda^2} = -\Gamma_{\alpha\beta}^\mu \frac{dx^\alpha}{d\lambda} \frac{dx^\beta}{d\lambda}$$

Using the definition of  $P$ ,  $P^\mu \equiv \frac{dx^\mu}{d\lambda}$

$$\frac{dP^0}{d\lambda} = -\Gamma_{\alpha\beta}^0 P^\alpha P^\beta$$

After some manipulation (exercise), one finds

$$\frac{dp}{dt} = p \left\{ \frac{\partial \Psi}{\partial t} + \frac{\hat{p}^i}{a} \frac{\partial \Psi}{\partial x^i} \right\} - \Gamma_{\alpha\beta}^0 \frac{P^\alpha P^\beta}{p} (1 + 2\Psi)$$

Evaluate Christoffel symbol, cancel more 2nd order terms (exercise)

$$\frac{dp}{dt} = -p \left( H + \frac{\partial \Phi}{\partial t} + \frac{\hat{p}^i}{a} \frac{\partial \Psi}{\partial x^i} \right)$$



# The Boltzmann equation for photons

Inserting in the Boltzmann eqn, the left-hand side looks as follows:

$$\frac{df}{dt} = \frac{\partial f}{\partial t} + \frac{\hat{p}^i}{a} \frac{\partial f}{\partial x^i} - p \frac{\partial f}{\partial p} \left[ H + \frac{\partial \Phi}{\partial t} + \frac{\hat{p}^i}{a} \frac{\partial \Psi}{\partial x^i} \right]$$

But we need a more concrete form of the distribution function  $f(t,x,p)$  to get further

# Back to the distribution function

Unperturbed Bose-Einstein distribution:

$$f_{\text{BE}} = \frac{1}{e^{E/T} - 1}$$

Introduce *perturbations* of temperature,

$$\Theta(x, \hat{p}, t) = \delta T(x, \hat{p}, t) / T(t)$$

so that

$$f(x, p, \hat{p}, t) = \left[ \exp \left\{ \frac{p}{T(t)[1 + \Theta(x, \hat{p}, t)]} \right\} - 1 \right]^{-1} \quad \text{\textcolor{red}{}E=p for photons (c=1)}$$

Expanding to first order in  $\Theta$  gives  $\text{\textcolor{red}{}(\delta T = T\Theta)}$

$$f \approx f^{(0)} + T \frac{\partial f^{(0)}}{\partial T} \Theta = f^{(0)} - p \frac{\partial f^{(0)}}{\partial p} \Theta$$

# The Boltzmann equation for photons

Left-hand side of Boltzmann equation:

$$\frac{df}{dt} = \frac{\partial f}{\partial t} + \frac{\hat{p}^i}{a} \frac{\partial f}{\partial x^i} - p \frac{\partial f}{\partial p} \left[ H + \frac{\partial \Phi}{\partial t} + \frac{\hat{p}^i}{a} \frac{\partial \Psi}{\partial x^i} \right]$$

Perturbed distribution function:

$$f \approx f^{(0)} - p \frac{\partial f^{(0)}}{\partial p} \Theta$$

The *zero-order* terms (no dep. on  $\Theta$ ,  $\phi$ ,  $\psi$ ,  $x$ ) yield

$$\left. \frac{df}{dt} \right|_0 = \frac{\partial f^{(0)}}{\partial t} - H p \frac{\partial f^{(0)}}{\partial p} = 0$$

Equilibrium - collision terms in B.E. vanish

# The Boltzmann equation for photons

The *zero-order* terms (no dep. on  $\Theta$ ,  $\phi$ ,  $\psi$ ,  $x$ ) yield

$$\left. \frac{df}{dt} \right|_0 = \frac{\partial f^{(0)}}{\partial t} - H p \frac{\partial f^{(0)}}{\partial p} = 0$$

Equilibrium - collision terms in B.E. vanish

Using that  $T \partial f^{(0)} / \partial T = -p \partial f^{(0)} / \partial p$  (again), we have

$$\frac{\partial f^{(0)}}{\partial t} = \frac{\partial f^{(0)}}{\partial T} \frac{dT}{dt} = - \frac{\partial f^{(0)}}{\partial p} \frac{p}{T} \frac{dT}{dt}$$

so

$$\left[ -\frac{dT/dt}{T} - \frac{da/dt}{a} \right] \frac{\partial f^{(0)}}{\partial p} = 0$$



$$T \propto a^{-1}$$

# The Boltzmann equation for photons

The *first-order* terms are (exercise):

$$\left. \frac{df}{dt} \right|_1 = -p \frac{\partial f^{(0)}}{\partial p} \left[ \frac{\partial \Theta}{\partial t} + \frac{\hat{p}^i}{a} \frac{\partial \Theta}{\partial x^i} + \frac{\partial \Phi}{\partial t} + \frac{\hat{p}^i}{a} \frac{\partial \Psi}{\partial x^i} \right] = C[f]$$

Collision terms due to  
Compton scattering

**Now, the collision terms on the right-hand side.**

Relevant physical process: Compton scattering,

$$e^{-}(q) + \gamma(p) \leftrightarrow e^{-}(q') + \gamma(p')$$

for electron momenta  $q, q'$  and photon momenta  $p, p'$ .

# Collision terms for photons

Compton scattering:

$$e^{-}(q) + \gamma(p) \leftrightarrow e^{-}(q') + \gamma(p')$$

Schematically, the collision terms can be written as

$$\frac{df(\vec{p})}{dt} = C[f(\vec{p})] = \sum_{\vec{q}, \vec{q}', \vec{p}'} |\text{Amplitude}|^2 \{ \underbrace{f_e(\vec{q}') f(\vec{p}')}_{\text{"production" of photons with momentum p}} - \underbrace{f_e(\vec{q}) f(\vec{p})}_{\text{"destruction" of photons with momentum p}} \}$$

Sum is written over all  $q, q', p'$ , but energy and momentum must be conserv

# Collision terms for photons

More formally, we have

Exercise 5

Amplitude

$$\begin{aligned}
 C[f(\vec{p})] = & \frac{1}{p} \int \frac{d^3 \vec{q}}{(2\pi)^3 2E_e(q)} \int \frac{d^3 \vec{q}'}{(2\pi)^3 2E_e(q')} \int \frac{d^3 \vec{p}'}{(2\pi)^3 2E(p')} |\mathcal{M}|^2 (2\pi)^4 \\
 & \times \delta^3(\vec{p} + \vec{q} - \vec{p}' - \vec{q}') \times \delta[E(p) + E_e(q) - E(p') - E_e(q')] \\
 & \times [f_e(\vec{q}') f(\vec{p}') - f_e(\vec{q}) f(\vec{p})]
 \end{aligned}$$

Integrals over all  $\mathbf{q}, \mathbf{q}', \mathbf{p}'$

Momentum/energy conservation

“Rate equation” (photons entering - leaving this bin of  $f(p)$ )

Notes:

Factors of  $1/E$  come from integration over  $E$  - taking into account that  $E$  and momenta are related through  $E^2 = p^2 + m^2$ , so that

$$\int d^3 \vec{p} \int dE \delta(E^2 - p^2 - m^2) = \int \frac{d^3 \vec{p}}{2E(p)} \quad (\text{see also F. Saueressig's lectures})$$

Amplitude  $|\mathcal{M}|^2$  depends on physics of Compton scattering

# Collision terms for photons

First, concentrate on the integrals on the first line:

$$\int \frac{d^3 \vec{q}}{(2\pi)^3 2E_e(q)} \int \frac{d^3 \vec{q}'}{(2\pi)^3 2E_e(q')} \int \frac{d^3 \vec{p}'}{(2\pi)^3 2E(p')}$$

The electrons are non-relativistic at the epochs of interest:  $T \sim 3000$  K at epoch of recombination, so  $E_{\text{kin}} = (3/2)kT \approx 0.26$  eV  $\ll m_e c^2 (\approx 0.5$  MeV)

We can therefore replace  $E_e(q)$  with  $m_e$  in the denominators (setting  $c=1$ )

$$\int \frac{d^3 \vec{q}}{(2\pi)^3 2E_e(q)} \approx \frac{1}{2m_e} \int \frac{d^3 \vec{q}}{(2\pi)^3}$$

For photons, we have  $E = p$ , so

$$\int \frac{d^3 \vec{p}'}{(2\pi)^3 2E(p')} = \int \frac{d^3 \vec{p}'}{(2\pi)^3 2p'}$$



# Collision terms for photons

First, concentrate on the integrals on the first line:

$$\int \frac{d^3 \vec{q}}{(2\pi)^3 2E_e(q)} \int \frac{d^3 \vec{q}'}{(2\pi)^3 2E_e(q')} \int \frac{d^3 \vec{p}'}{(2\pi)^3 2E(p')}$$

$$\int \frac{d^3 \vec{q}}{(2\pi)^3 2E_e(q)} \approx \frac{1}{2m_e} \int \frac{d^3 \vec{q}}{(2\pi)^3}$$
$$\int \frac{d^3 \vec{p}'}{(2\pi)^3 2E(p')} = \int \frac{d^3 \vec{p}'}{(2\pi)^3 2p'}$$

Inserting the integrals from the box, we then get:

$$C[f(\vec{p})] = \frac{(2\pi)^4}{8m_e^2 p} \int \frac{d^3 \vec{q}}{(2\pi)^3} \int \frac{d^3 \vec{q}'}{(2\pi)^3} \int \frac{d^3 \vec{p}'}{(2\pi)^3 p'} |\mathcal{M}|^2$$
$$\times \delta^3(\vec{p} + \vec{q} - \vec{p}' - \vec{q}') \times \delta[E(p) + E_e(q) - E(p') - E_e(q')]$$
$$\times [f_e(\vec{q}')f(\vec{p}') - f_e(\vec{q})f(\vec{p})]$$

# Collision terms for photons

$$\begin{aligned} C[f(\vec{p})] &= \frac{(2\pi)^4}{8m_e^2 p} \int \frac{d^3 \vec{q}}{(2\pi)^3} \int \frac{d^3 \vec{q}'}{(2\pi)^3} \int \frac{d^3 \vec{p}'}{(2\pi)^3 p'} |\mathcal{M}|^2 \\ &\times \delta^3(\vec{p} + \vec{q} - \vec{p}' - \vec{q}') \times \delta[E(p) + E_e(q) - E(p') - E_e(q')] \\ &\times [f_e(\vec{q}')f(\vec{p}') - f_e(\vec{q})f(\vec{p})] \end{aligned}$$

The middle integral is straight forward, making use of the momentum conservation:

$$\vec{q}' = \vec{p} + \vec{q} - \vec{p}'$$

Using the momentum delta function to evaluate the  $q'$  integral, we get

$$\begin{aligned} C[f(\vec{p})] &= \frac{(2\pi)^4}{8m_e^2 p (2\pi)^3} \int \frac{d^3 \vec{q}}{(2\pi)^3} \int \frac{d^3 \vec{p}'}{(2\pi)^3 p'} |\mathcal{M}|^2 \\ &\times \delta[E(p) + E_e(q) - E(p') - E_e(\vec{p} + \vec{q} - \vec{p}')] \times [f_e(\vec{p} + \vec{q} - \vec{p}')f(p') - f_e(q)f(p)] \end{aligned}$$

- note that  $q'$  has now been eliminated.

# Collision terms for photons

$$C[f(\vec{p})] = \frac{(2\pi)^4}{8m_e^2 p (2\pi)^3} \int \frac{d^3 \vec{q}}{(2\pi)^3} \int \frac{d^3 \vec{p}'}{(2\pi)^3 p'} |\mathcal{M}|^2$$

$$\times \delta[E(p) + E_e(q) - E(p') - E_e(\vec{p} + \vec{q} - \vec{p}')] \times [f_e(\vec{p} + \vec{q} - \vec{p}') f(p') - f_e(q) f(p)]$$

- note that  $q'$  has now been eliminated!

Next, energy conservation: Photons:  $E=p$ , and (non-relativistic) electrons:  $E = m_e + \frac{q^2}{2m_e}$

Also, since  $q \sim q'$  (change in electron momentum is small), we can replace  $f_e(p+q-p')$  with  $f_e(q)$  in the last factor. This leads to

$$C[f(p)] = \frac{\pi}{4m_e^2 p} \int d^3 \vec{q} \frac{f_e(\vec{q})}{(2\pi)^3} \int \frac{d^3 \vec{p}'}{(2\pi)^3 p'} |\mathcal{M}|^2$$

$$\times \left[ \delta(p - p') + \frac{\partial \delta(p - p')}{\partial p'} \frac{\vec{q} \cdot (\vec{p} - \vec{p}')}{m_e} \right] \times [f(\vec{p}') - f(\vec{p})]$$

# Collision terms for photons

$$C[f(p)] = \frac{\pi}{4m_e^2 p} \int d^3 \vec{q} \frac{f_e(\vec{q})}{(2\pi)^3} \int \frac{d^3 \vec{p}'}{(2\pi)^3 p'} |\mathcal{M}|^2 \\ \times \left[ \delta(p - p') + \frac{\partial \delta(p - p')}{\partial p'} \frac{\vec{q} \cdot (\vec{p} - \vec{p}')}{m_e} \right] \times [f(\vec{p}') - f(\vec{p})]$$

Now, we need the amplitude term,  $|\mathcal{M}|^2$ :

For simplicity, we simply assume it to be constant:  $|\mathcal{M}|^2 \approx 8\pi\sigma_T m_e^2$

(not strictly correct; depends on the angle between  $\vec{p}$  and  $\vec{p}'$ , and on polarization, but final error is small)

Then we have

$$C[f(\vec{p})] = \frac{2\pi^2 \sigma_T}{p} \int d^3 \vec{q} \frac{f_e(\vec{q})}{(2\pi)^3} \int \frac{d^3 \vec{p}'}{(2\pi)^3 p'} \times \left[ \delta(p - p') + \frac{\partial \delta}{\partial p'} \frac{\vec{q} \cdot (\vec{p} - \vec{p}')}{m_e} \right] \times [f(\vec{p}') - f(\vec{p})]$$

# Collision terms for photons

$$C[f(\vec{p})] = \frac{2\pi^2\sigma_T}{p} \int d^3\vec{q} \frac{f_e(\vec{q})}{(2\pi)^3} \int \frac{d^3\vec{p}'}{(2\pi)^3 p'} \times \left[ \delta(p - p') + \frac{\partial \delta}{\partial p'} \frac{\vec{q} \cdot (\vec{p} - \vec{p}')}{m_e} \right] \times [f(\vec{p}') - f(\vec{p})]$$

Only two terms depend on  $q$ :

The integral of  $f_e(q)$  over all  $q$  is the total electron density,

$$\int \frac{f_e(\vec{q})}{(2\pi)^3} d^3\vec{q} = n_e$$

The integral of  $q/m_e = v$  is the mean (bulk) electron velocity,

$$\int \frac{f_e(\vec{q})}{(2\pi)^3} \frac{\vec{q}}{m_e} d^3\vec{q} = n_e \vec{v}_b$$

And only the integral over  $p'$  is left:

$$C[f(p)] = \frac{2\pi^2 n_e \sigma_T}{p} \int \frac{d^3\vec{p}'}{(2\pi)^3 p'} \times \left[ \delta(p - p') + \frac{\partial \delta}{\partial p'} \vec{v}_b \cdot (\vec{p} - \vec{p}') \right] \times [f(\vec{p}') - f(\vec{p})]$$

# Collision terms for photons

Integral over  $p'$ :

$$C[f(p)] = \frac{2\pi^2 n_e \sigma_T}{p} \int \frac{d^3 \vec{p}'}{(2\pi)^3 p'} \times \left[ \delta(p - p') + \frac{\partial \delta}{\partial p'} \vec{v}_b \cdot (\vec{p} - \vec{p}') \right] \times [f(\vec{p}') - f(\vec{p})]$$

Integrating by parts takes care of the  $\delta$  function (exercise).  
Re-introduce our expansion of  $f(p)$ .

Define the *monopole* of the temperature perturbations as

$$\Theta_0(\vec{x}, t) \equiv \frac{1}{4\pi} \int d\Omega' \Theta(\hat{p}', \vec{x}, t)$$

This finally gives the result:

$$C[f(p)] = -p \frac{\partial f^{(0)}}{\partial p} n_e \sigma_T [\Theta_0 - \Theta(\hat{p}) + \hat{p} \cdot \vec{v}_b]$$

# Putting it together:

Left-hand side of Boltzmann eq. (time derivative of distribution function)

$$\left. \frac{df}{dt} \right|_1 = -p \frac{\partial f^{(0)}}{\partial p} \left[ \frac{\partial \Theta}{\partial t} + \frac{\hat{p}^i}{a} \frac{\partial \Theta}{\partial x^i} + \frac{\partial \Phi}{\partial t} + \frac{\hat{p}^i}{a} \frac{\partial \Psi}{\partial x^i} \right] = C[f]$$

Right-hand side (collision terms):

$$C[f(p)] = -p \frac{\partial f^{(0)}}{\partial p} n_e \sigma_T [\Theta_0 - \Theta(\hat{p}) + \hat{p} \cdot \vec{v}_b]$$

Combining the two, we finally have

$$\frac{\partial \Theta}{\partial t} + \frac{\hat{p}^i}{a} \frac{\partial \Theta}{\partial x^i} + \frac{\partial \Phi}{\partial t} + \frac{\hat{p}^i}{a} \frac{\partial \Psi}{\partial x^i} = n_e \sigma_T [\Theta_0 - \Theta + \hat{p} \cdot \mathbf{v}_b]$$

# The Boltzmann equation for photons

$$\frac{\partial \Theta}{\partial t} + \frac{\hat{p}^i}{a} \frac{\partial \Theta}{\partial x^i} + \frac{\partial \Phi}{\partial t} + \frac{\hat{p}^i}{a} \frac{\partial \Psi}{\partial x^i} = n_e \sigma_T [\Theta_0 - \Theta + \hat{p} \cdot \mathbf{v}_b]$$

Replacing  $t$  with the *conformal* time ( $c\eta$  = comoving horizon),

$$\eta \equiv \int_0^t \frac{dt'}{a(t')} \qquad \frac{d\eta}{dt} = 1/a(t)$$

so that 
$$\frac{\partial \Theta}{\partial t} = \frac{\partial \Theta}{\partial \eta} \frac{\partial \eta}{\partial t} \equiv \frac{\dot{\Theta}}{a} \quad \text{etc..}$$

the Boltzmann equation for photons finally becomes

$$\dot{\Theta} + \hat{p}^i \frac{\partial \Theta}{\partial x^i} + \dot{\Phi} + \hat{p}^i \frac{\partial \Psi}{\partial x^i} = n_e \sigma_T a [\Theta_0 - \Theta + \hat{p} \cdot \mathbf{v}_b]$$



# The Boltzmann equation for photons

$$\dot{\Theta} + \hat{p}^i \frac{\partial \Theta}{\partial x^i} + \dot{\Phi} + \hat{p}^i \frac{\partial \Psi}{\partial x^i} = n_e \sigma_T a [\Theta_0 - \Theta + \hat{p} \cdot \mathbf{v}_b]$$

Partial differential equation, coupling variations in *temperature* distribution ( $\Theta$ ) to variations in the *potential* ( $\psi$ ), *curvature* ( $\phi$ ) and *velocity* field ( $\mathbf{v}_b$ ).

Simpler to solve in Fourier space, since

(a) partial derivatives are replaced by multiplication:  $\mathcal{F}[f'(x)](k) = ik\mathcal{F}[f(x)](k)$

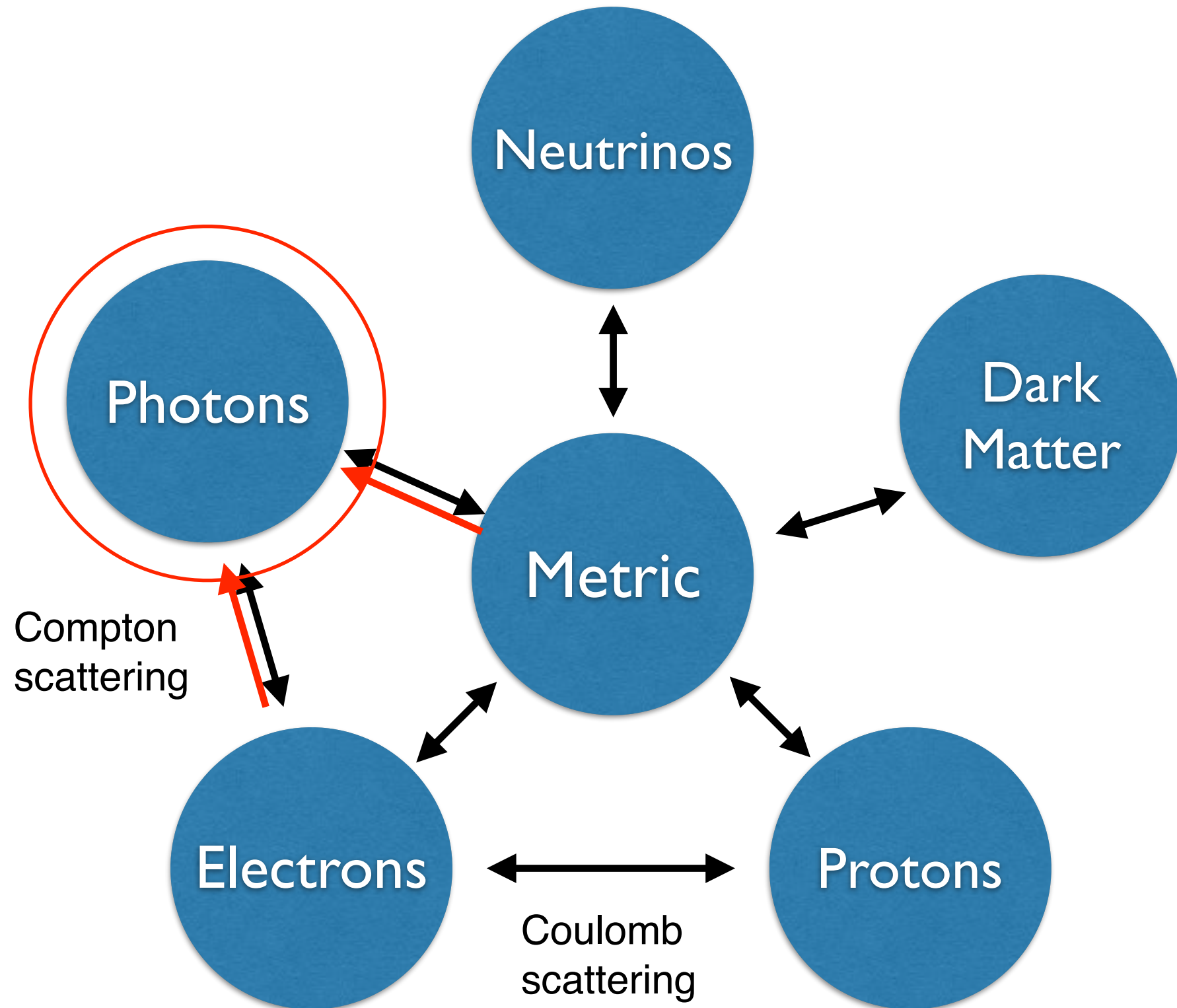
(b) small amplitude Fourier modes evolve *independently*.

Then we get

$$\dot{\tilde{\Theta}} + ik\mu\tilde{\Theta} + \dot{\tilde{\Phi}} + ik\mu\tilde{\Psi} = n_e \sigma_T a [\tilde{\Theta}_0 - \tilde{\Theta} + \mu\mathbf{v}_b]$$

where  $\mu \equiv \frac{\mathbf{k} \cdot \hat{p}}{k}$  is the direction of photon propagation w.r.t. the Fourier comp.

# Ingredients and their coupling



# B.E. for other components

We have derived the Boltzmann equation for *photons*.

Equivalent equations for

- **(Cold) dark matter (§4.5):** no collision terms; particles are non-relativistic. No specific form for distrib. function assumed, use *moments* of B.E:

Density fluctuations: 
$$\dot{\delta} + ik\tilde{v} + 3\dot{\Phi} = 0$$

Velocity field: 
$$\dot{\tilde{v}} + \frac{\dot{a}}{a}\tilde{v} + ik\tilde{\Psi} = 0$$

- **Baryons (§4.6):** Collision terms from Compton scattering;

$$\dot{\delta}_b + ik\tilde{v}_b + 3\dot{\Phi} = 0$$
$$\dot{\tilde{v}}_b + \frac{\dot{a}}{a}\tilde{v}_b + ik\tilde{\Psi} = n_e\sigma_T a \frac{4\rho_\gamma}{3\rho_b} \left[ 3i\tilde{\Theta}_1 + \tilde{v}_b \right]$$

- **Neutrinos:** similar to photons, but no collision terms

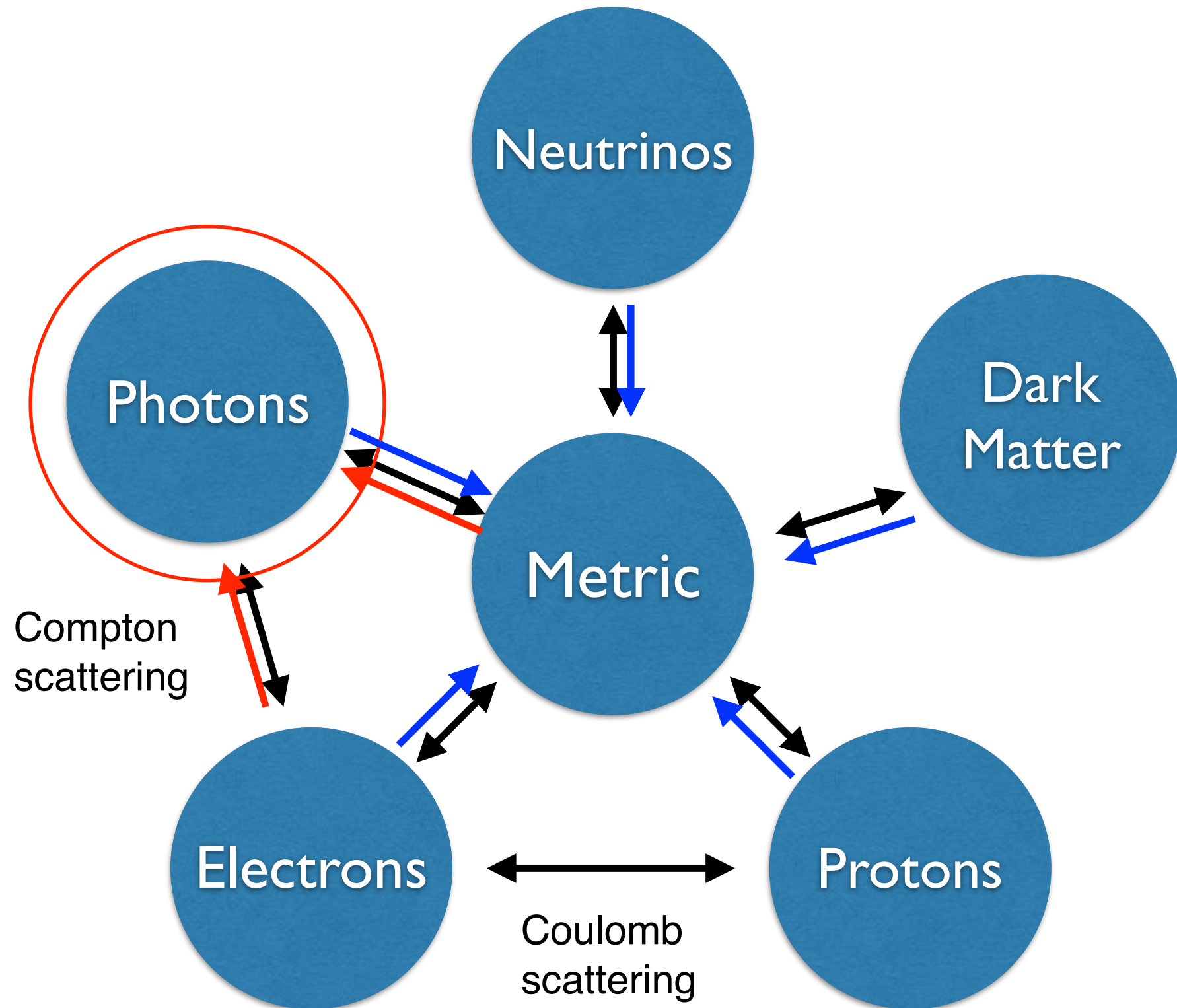
What we have got so far:

- A set of differential equations relating changes in the density, velocity, and temperature perturbations to the potential

Still missing:

- Finding out how the potential (i.e. the *metric*) responds to the perturbations

# Ingredients and their coupling



# The perturbed field equations

Einstein's field equations:

$$G_{\mu\nu} \equiv R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}\mathcal{R} = 8\pi GT_{\mu\nu}$$

10 independent equations, but we need only two (to find  $\phi$  and  $\psi$ ).

Choose component (0,0)

$$G_{00} = 8\pi GT_{00}$$

It turns out to be useful (when evaluating T) to raise one of the indices:

$$\begin{aligned} G^0_0 &= g^{0i}G_{i0} \\ &= g^{00}G_{00} \\ &= g^{00}\left(R_{00} - \frac{1}{2}g_{00}\mathcal{R}\right) \\ &= (-1 + 2\Psi)R_{00} - \frac{\mathcal{R}}{2} \end{aligned}$$

$g$  is diagonal

$$g^{00}g_{00} = 1$$

# The perturbed field equations

Left-hand side (Einstein tensor):

$$G^0_0 = (-1 + 2\Psi)R_{00} - \frac{\mathcal{R}}{2}$$

To evaluate this, we need  $R_{00}$  (the Ricci tensor) and  $\mathcal{R}$  (the Ricci scalar).

Since

$$\mathcal{R} = g^{\mu\nu} R_{\mu\nu}$$

we do need to calculate all the elements of  $R$ :

$$R_{\mu\nu} = \Gamma^\alpha_{\mu\nu,\alpha} - \Gamma^\alpha_{\mu\alpha,\nu} + \Gamma^\alpha_{\beta\alpha}\Gamma^\beta_{\mu\nu} - \Gamma^\alpha_{\beta\nu}\Gamma^\beta_{\mu\alpha}$$

(Some of) the details will be done in an exercise.

# The perturbed field equations

Result from exercise: the Christoffel symbols  $\Gamma^0_{\mu\nu}$ :

$$\Gamma^0_{00} = \Psi_{,0}$$

$$\Gamma^0_{i0} = \Gamma^0_{0i} = ik_i \tilde{\Psi}$$

$$\Gamma^0_{ij} = \delta_{ij} a^2 [H + 2H(\Phi - \Psi) + \Phi_{,0}]$$

The remaining Christoffel symbols,  $\Gamma^i_{\mu\nu}$ :

$$\Gamma^i_{00} = \frac{ik^i}{a^2} \tilde{\Psi}$$

$$\Gamma^i_{j0} = \Gamma^i_{0j} = \delta_{ij} [H + \Phi_{,0}]$$

$$\Gamma^i_{jk} = i\tilde{\Phi} [\delta_{ij} k_k + \delta_{ik} k_j - \delta_{jk} k_i]$$



# The perturbed field equations

We can then calculate the Ricci tensor:

$$R_{\mu\nu} = \Gamma^\alpha_{\mu\nu,\alpha} - \Gamma^\alpha_{\mu\alpha,\nu} + \Gamma^\alpha_{\beta\alpha}\Gamma^\beta_{\mu\nu} - \Gamma^\alpha_{\beta\nu}\Gamma^\beta_{\mu\alpha}$$

The Ricci scalar can be conveniently separated into two components:

Zeroth order (unperturbed) component:

$$\mathcal{R}^{(0)} = 6 \left( \frac{d^2 a / dt^2}{a} + H^2 \right)$$

First order component:

$$\mathcal{R}^{(1)} = -12\Psi \left( H^2 + \frac{d^2 a / dt^2}{a} \right) + 2\Psi \frac{k^2}{a^2} + 6\Phi_{,00} - 6H(\Psi_{,0} - 4\Phi_{,0}) + 4\Phi \frac{k^2}{a^2}$$

# The perturbed field equations

We now have everything we need for the Einstein tensor:

$$G^0_0 = (-1 + 2\Psi)R_{00} - \frac{\mathcal{R}}{2}$$

We will look only at the perturbed (first-order) part

$$\begin{aligned} \delta G^0_0 = & (-1 + 2\Psi) \left[ -\frac{(k)^2}{a^2} \Psi - 3\frac{d^2 a/dt^2}{a} - 3\Phi_{,00} + 3(H\Psi_{,0} - 2H\Phi_{,0}) \right] \\ & - \frac{1}{2} \left[ -12\Psi \left( H^2 + \frac{d^2 a/dt^2}{a} \right) + 2\Psi \frac{k^2}{a^2} + 6\Phi_{,00} - 6H(\Psi_{,0} - 4\Phi_{,0}) + 4\Phi \frac{k^2}{a^2} \right] \end{aligned}$$

Looks rather daunting, but simplifies to

$$\delta G^0_0 = 6H^2\Psi - 6H\Phi_{,0} - 2\frac{k^2}{a^2}\Phi$$

# The perturbed field equations

We can now return to the Einstein equation:  $G^0_0 = 8\pi G T^0_0$

$$\delta G^0_0 = 6H^2\Psi - 6H\Phi_{,0} - 2\frac{k^2}{a^2}\Phi$$

On the right-hand side, we have

$$T^\mu_\nu = \begin{pmatrix} -\rho & 0 & 0 & 0 \\ 0 & \mathcal{P} & 0 & 0 \\ 0 & 0 & \mathcal{P} & 0 \\ 0 & 0 & 0 & \mathcal{P} \end{pmatrix}$$

with the perturbed part of  $T^\mu_\nu$  being

$$\delta T^0_0 = -[\rho_{\text{dm}}\delta + \rho_b\delta_b + 4\rho_\gamma\Theta_0 + 4\rho_\nu\mathcal{N}_0]$$

[for photons we have used that the energy density is  $\sim T^4 = T_0(1+\Theta)^4 \sim T_0(1 + 4\Theta)$  ]

# Putting it together

Including all species (DM, baryons, photons, neutrinos), we get

$$\delta T^0_0 = - [\rho_{\text{dm}}\delta + \rho_b\delta_b + 4\rho_\gamma\Theta_0 + 4\rho_\nu\mathcal{N}_0]$$

Combining this with the left-hand side of the Einstein eqn ( $\delta G^0_0$ ), we get

$$3H^2\Psi - 3H\Phi_{,0} - \frac{k^2}{a^2}\Phi = -4\pi G [\rho_{\text{dm}}\delta + \rho_b\delta_b + 4\rho_\gamma\Theta_0 + 4\rho_\nu\mathcal{N}_0]$$

where the ‘tildes’ ( $\sim$ ) have been dropped, but  $\Psi$ ,  $\Phi$ , and  $\Theta$  refer to the respective Fourier components.

In terms of conformal time, with  $\dot{a} \equiv da/d\eta$

$$k^2\Phi + 3\frac{\dot{a}}{a}\left(\dot{\Phi} - \frac{\dot{a}}{a}\Psi\right) = 4\pi G a^2 [\rho_{\text{dm}}\delta + \rho_b\delta_b + 4\rho_\gamma\Theta_0 + 4\rho_\nu\mathcal{N}_0]$$

Note that for  $a=\text{const}$ , this reduces to the standard Poisson eqn.

# Relating fluctuations in density, potential, and metric

One relation between the metric perturbations and the density:

$$k^2 \Phi + 3 \frac{\dot{a}}{a} \left( \dot{\Phi} - \frac{\dot{a}}{a} \Psi \right) = 4\pi G a^2 [\rho_{\text{dm}} \delta + \rho_b \delta_b + 4\rho_\gamma \Theta_0 + 4\rho_\nu \mathcal{N}_0]$$

Another relation can be obtained from the *spatial* components of the field eqn:

$$G^i_j = g^{ij} \left( R_{kj} - \frac{g_{kj}}{2} \mathcal{R} \right) = 8\pi G T^i_j$$

We do not go through the detailed derivation, but the resulting relation is

$$k^2 (\Psi + \Phi) = -32\pi G a^2 [\rho_\gamma \Theta_2 + \rho_\nu \mathcal{N}_2]$$

for quadrupole moments  $\Theta_2$  and  $\mathcal{N}_2$ .

If the photon and neutrino perturbations have no quadrupole moments, then

$$\Psi = -\Phi$$

# The Boltzmann equations

- **Photons:**

$$\dot{\Theta} + \hat{p}^i \frac{\partial \Theta}{\partial x^i} + \dot{\Phi} + \hat{p}^i \frac{\partial \Psi}{\partial x^i} = n_e \sigma_T a [\Theta_0 - \Theta + \hat{p} \cdot \mathbf{v}_b]$$

- **(Cold) dark matter:** no collision terms; particles are non-relativistic.

Density fluctuations:

Velocity field:

$$\begin{aligned} \ddot{\delta} + ik\tilde{v} + 3\dot{\Phi} &= 0 \\ \dot{\tilde{v}} + \frac{\dot{a}}{a}\tilde{v} + ik\tilde{\Psi} &= 0 \end{aligned}$$

- **Baryons:** Collision terms from Coulomb scattering;

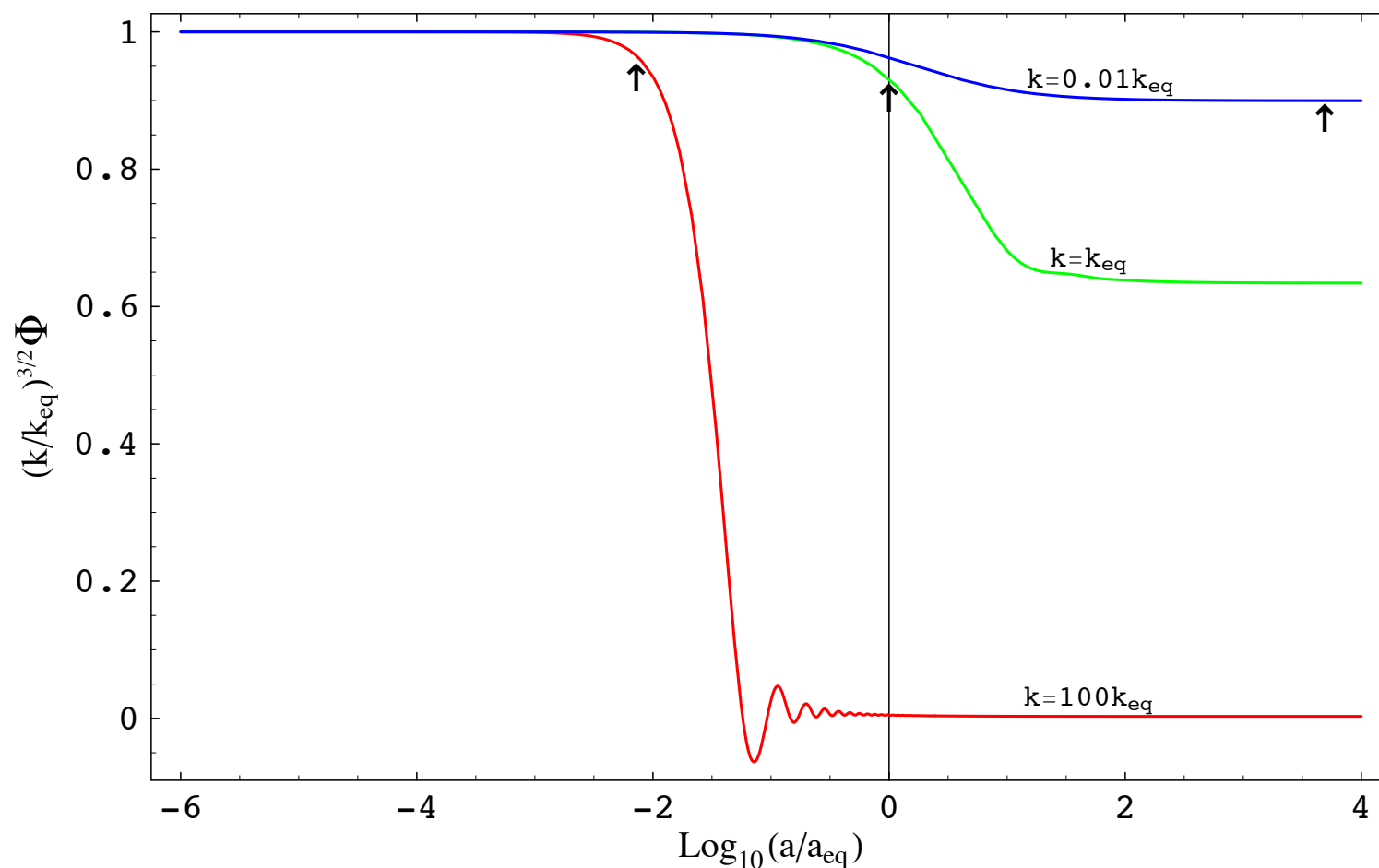
$$\begin{aligned} \ddot{\delta}_b + ik\tilde{v}_b + 3\dot{\Phi} &= 0 \\ \dot{\tilde{v}}_b + \frac{\dot{a}}{a}\tilde{v}_b + ik\tilde{\Psi} &= n_e \sigma_T a \frac{4\rho_\gamma}{3\rho_b} \left[ 3i\tilde{\Theta}_1 + \tilde{v}_b \right] \end{aligned}$$

- **Neutrinos:** similar to photons, but no collision terms

# Evolution of density perturbations

- We have now derived:
  - The relativistic Boltzmann equations for the different constituents (dark/ordinary matter, photons, neutrinos)
  - Einstein equations for the potentials+curvature
- We now need to *solve* these equations.
- In general, this must be done *numerically*, using suitable initial conditions (e.g., as predicted by inflation)
- However, useful insight can be obtained analytically for certain specific situations

# Evolution of the potential



## Early times:

- Fluctuations larger than horizon, potential does not evolve.

## Intermediate times:

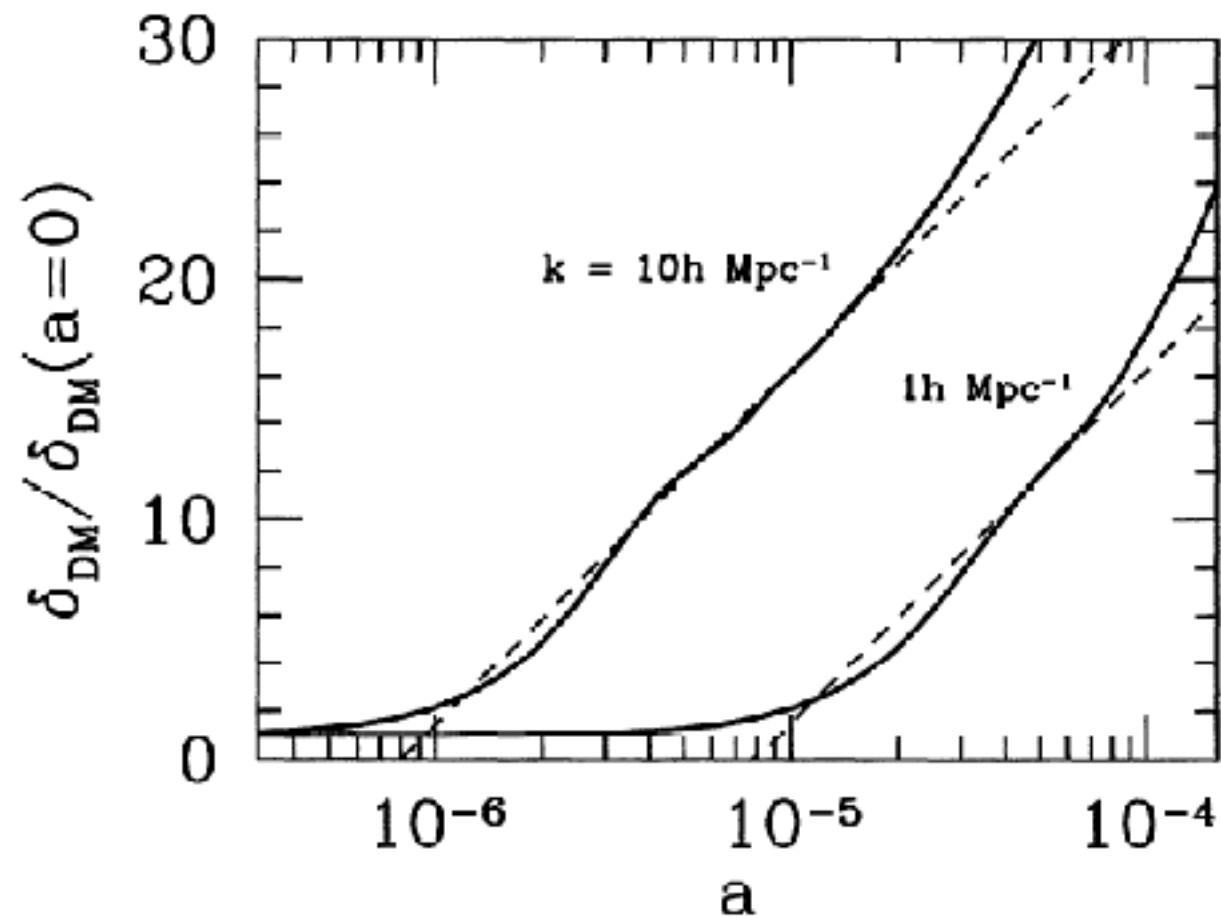
- Modes within horizon in radiation-dominated Universe
- Radiation pressure dominates
- Potential decays

## Late times:

- Universe is matter dominated
- Potential is constant



# Growth of dark matter perturbations

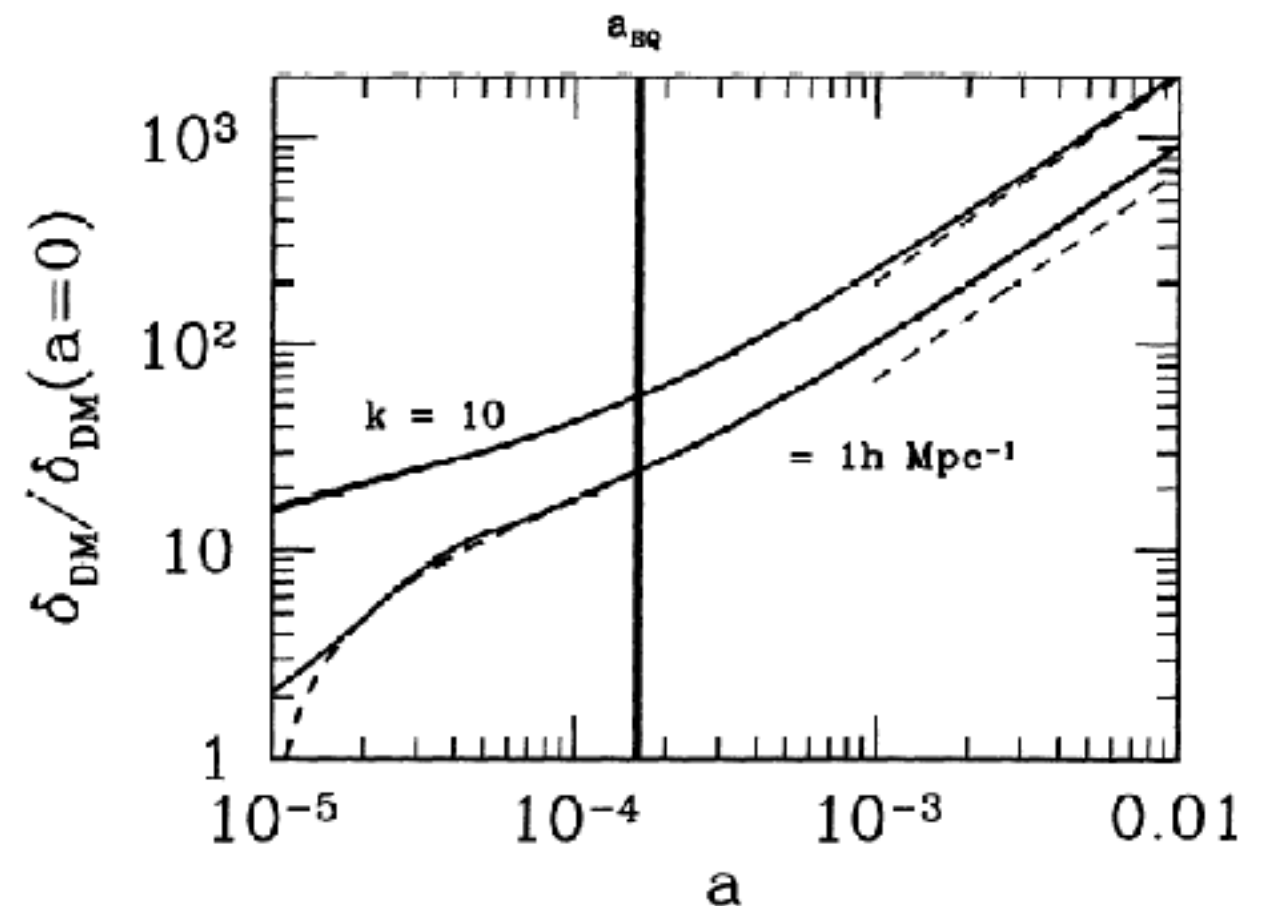


## Radiation-dominated epoch:

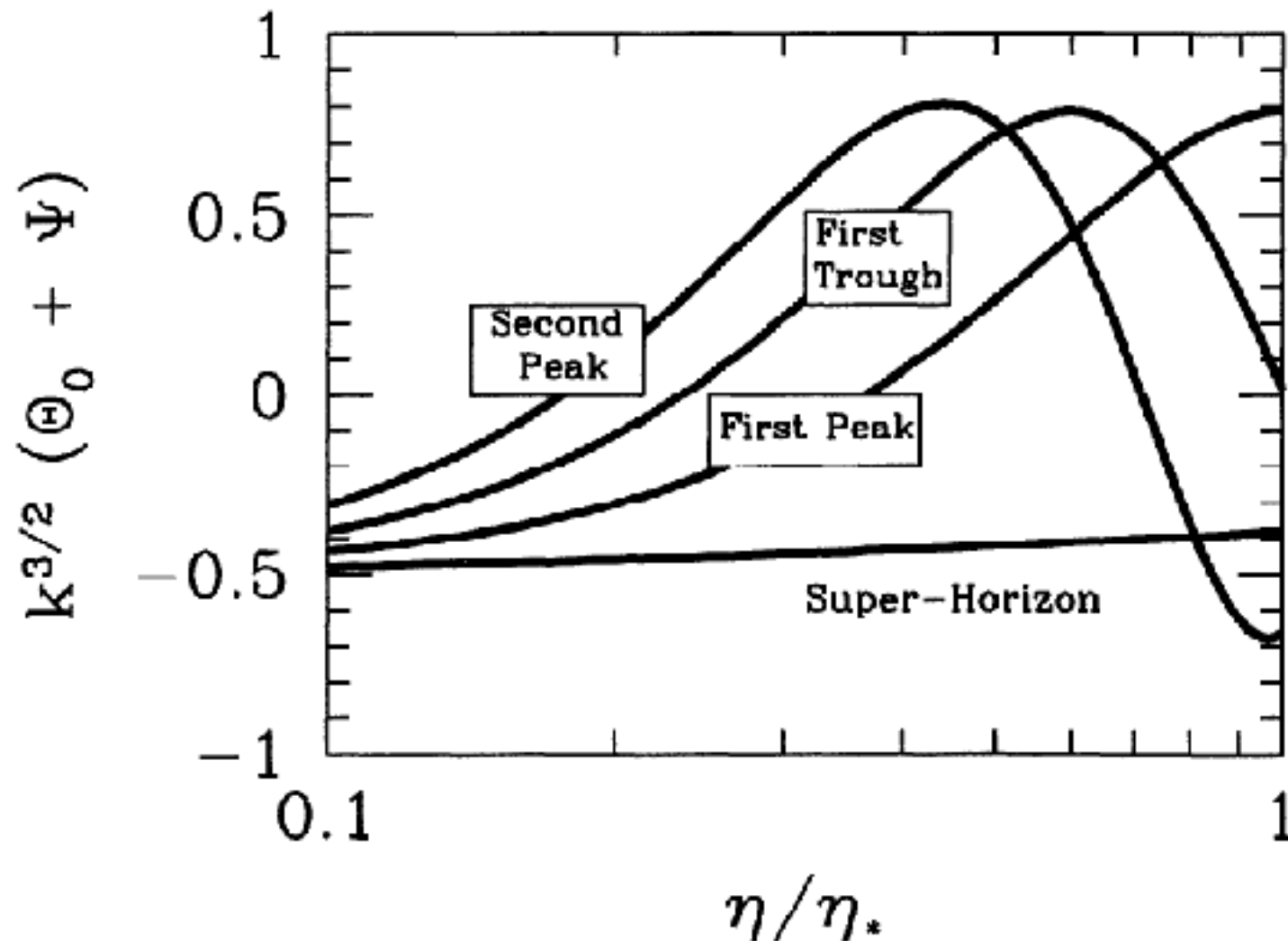
- Growth slowed by decaying potentials, DM grows only *logarithmic*.

## Matter-dominated epoch:

- Linear growth



# Acoustic oscillations



## Largest (super-horizon) scales:

Perturbations hardly evolve (no causal physics) - power-spectrum is “pristine” (i.e. as produced by inflation)

## First peak:

Perturbations on this scale enter horizon at some epoch  $\eta_1 < \eta^*$  and just manage to reach maximum compression by  $\eta^*$

## First trough:

Perturbations enter horizon at  $\eta_2 < \eta_1$ , “bounce”, and re-expand to average density by  $\eta^*$

## Second peak:

Perturbations re-expand to average rarefaction by  $\eta^*$

What about the spring  
analogies?

# Acoustic oscillations

So much for the general picture. Now let's look at the details!

## The tightly coupled limit:

Before recombination ( $\eta^*$ ), mean free path for a photon was much smaller than horizon.

Define *optical depth* as integral of  $n_e \sigma_T a$  over (conformal) time:

$$\tau(\eta) \equiv \int_{\eta}^{\eta_0} d\eta' n_e \sigma_T a$$

with derivative:

$$\frac{d\tau}{d\eta} \equiv \dot{\tau} = -n_e \sigma_T a$$

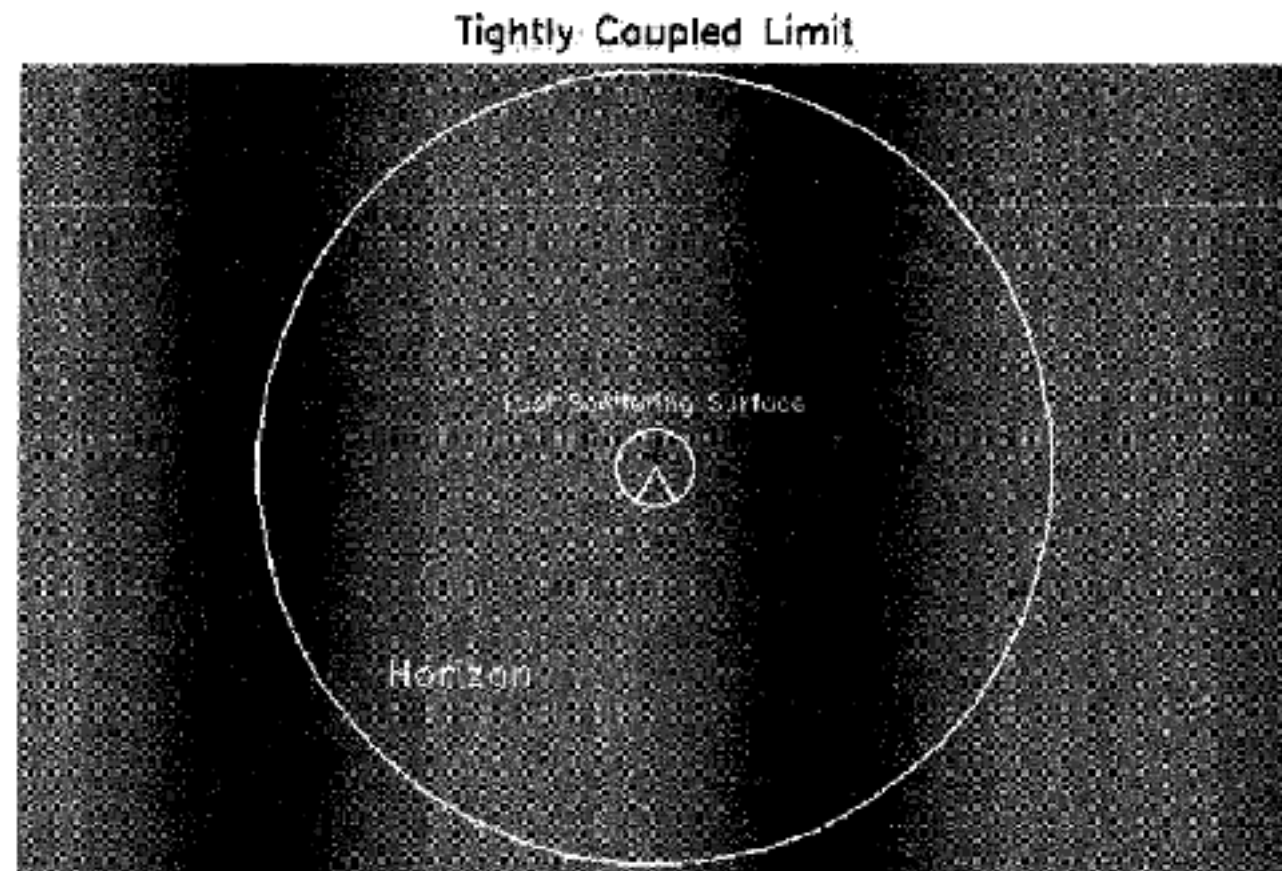
Tightly coupled limit corresponds to  $\tau \gg 1$ .

# Acoustic oscillations

**The tightly coupled limit:**

$$\tau(\eta) \equiv \int_{\eta}^{\eta_0} d\eta' n_e \sigma_T a$$

Tightly coupled limit corresponds to  $\tau \gg 1$   
(last scattering surface much smaller than horizon)



Higher-order moments of radiation field are then negligible:  $\Theta$  “looks the same in every direction”, apart from spatial and velocity dependencies. We only need to consider

$[\Theta_0(\mathbf{x}, t)]$  - Monopole

$[\Theta_1(\mathbf{x}, t)]$  - Dipole

(see Sect. 8.3.1 for formal derivation).

# Multipole moments

We define the  $l$ th multipole moment of  $\Theta$  as

$$\Theta_l \equiv \frac{1}{(-i)^l} \int_{-1}^1 \frac{d\mu}{2} \mathcal{P}_l(\mu) \Theta(\mu)$$

The first three Legendre polynomials are defined as:

$$\mathcal{P}_0(\mu) = 1$$

$$\mathcal{P}_1(\mu) = \mu$$

$$\mathcal{P}_2(\mu) = \frac{3\mu^2 - 1}{2}$$

# Acoustic oscillations

$$\Theta_l \equiv \frac{1}{(-i)^l} \int_{-1}^1 \frac{d\mu}{2} \mathcal{P}_l(\mu) \Theta(\mu)$$
$$\mathcal{P}_0(\mu) = 1 \quad \mathcal{P}_1(\mu) = \mu$$

The Boltzmann equation for photons in the tightly coupled limit:

General version:

$$\dot{\Theta} + ik\mu\Theta = -\dot{\Phi} - ik\mu\Psi + n_e\sigma_T a [\Theta_0 - \Theta + \mu\mathbf{v}_b]$$

Next: obtain two new equations by multiplying by  $P_0$  and  $P_1$  and integrating over all  $\mu$ , dropping higher-order moments.

$P_0$ , left-hand side:

$$\begin{aligned} \int d\mu \dot{\Theta} + ik\mu\Theta &= 2\dot{\Theta}_0 + ik \int_{-1}^1 d\mu \mu\Theta(\mu) \\ &= 2\dot{\Theta}_0 + ik(-2i)\Theta_1 \\ &= 2\dot{\Theta}_0 + 2k\Theta_1 \end{aligned}$$

# Acoustic oscillations

$$\Theta_l \equiv \frac{1}{(-i)^l} \int_{-1}^1 \frac{d\mu}{2} \mathcal{P}_l(\mu) \Theta(\mu)$$

$$\mathcal{P}_0(\mu) = 1 \quad \mathcal{P}_1(\mu) = \mu$$

The Boltzmann equation for photons in the tightly coupled limit:

General version:

$$\dot{\Theta} + ik\mu\Theta = -\dot{\Phi} - ik\mu\Psi + n_e\sigma_T a [\Theta_0 - \Theta + \mu\mathbf{v}_b]$$

$$P_0, \text{ left-hand side:} \quad = 2\dot{\Theta}_0 + 2k\Theta_1$$

$P_0$ , right-hand side:

$$\int_{-1}^1 d\mu \left( -\dot{\Phi} - ik\mu\Psi - \dot{\tau} [\Theta_0 - \Theta + \mu\mathbf{v}_b] \right) = \underbrace{-\int_{-1}^1 d\mu \dot{\Phi}}_{-2\dot{\Phi}} - \underbrace{ik \int_{-1}^1 d\mu \mu \Psi}_0 - \dot{\tau} \left[ \underbrace{\int_{-1}^1 d\mu (\Theta_0 - \Theta)}_{-2\dot{\tau}\Theta_0} + v_b \underbrace{\int_{-1}^1 d\mu \mu}_{2\dot{\tau}\Theta_0} \right] \underbrace{0}_{0}$$

Equating l.h. and r.h. sides:

$$\dot{\Theta}_0 + k\Theta_1 = -\dot{\Phi}$$



# Acoustic oscillations

$$\Theta_l \equiv \frac{1}{(-i)^l} \int_{-1}^1 \frac{d\mu}{2} \mathcal{P}_l(\mu) \Theta(\mu)$$
$$\mathcal{P}_0(\mu) = 1 \quad \mathcal{P}_1(\mu) = \mu$$

The Boltzmann equation for photons in the tightly coupled limit:

General version:

$$\dot{\Theta} + ik\mu\Theta = -\dot{\Phi} - ik\mu\Psi + n_e\sigma_T a [\Theta_0 - \Theta + \mu\mathbf{v}_b]$$

$P_1$ , left-hand side:

$$\begin{aligned} \int_{-1}^1 d\mu \mu (\dot{\Theta} + ik\mu\Theta) &= -2i\dot{\Theta}_1 + ik \int_{-1}^1 d\mu \mu^2 \Theta \\ &= -2i\dot{\Theta}_1 + 2ik \left( \frac{1}{3}\Theta_0 - \frac{2}{3}\Theta_2 \right) \end{aligned}$$

Exercise

# Acoustic oscillations

$$\Theta_l \equiv \frac{1}{(-i)^l} \int_{-1}^1 \frac{d\mu}{2} \mathcal{P}_l(\mu) \Theta(\mu)$$

$$\mathcal{P}_0(\mu) = 1 \quad \mathcal{P}_1(\mu) = \mu$$

The Boltzmann equation for photons in the tightly coupled limit:

General version:

$$\dot{\Theta} + ik\mu\Theta = -\dot{\Phi} - ik\mu\Psi + n_e\sigma_T a [\Theta_0 - \Theta + \mu\mathbf{v}_b]$$

$P_1$ , right-hand side:

$$\begin{aligned} \int_{-1}^1 d\mu \mu \left( -\dot{\Phi} - ik\mu\Psi - \dot{\tau} [\Theta_0 - \Theta + \mu\mathbf{v}_b] \right) = \\ - \int_{-1}^1 d\mu \mu \dot{\Phi} - ik \int_{-1}^1 d\mu \mu^2 \Psi - \dot{\tau} \left[ \int_{-1}^1 d\mu \mu (\Theta_0 - \Theta) + v_b \int_{-1}^1 d\mu \mu^2 \right] \\ 0 \qquad -\frac{2}{3}ik\Psi \qquad 0 \qquad -2\dot{\tau}i\Theta_1 \qquad -\frac{2}{3}\dot{\tau}v_b \\ = -\frac{2}{3}ik\Psi - \dot{\tau} \left[ 2i\Theta_1 + \frac{2}{3}v_b \right] \end{aligned}$$

# Acoustic oscillations

The Boltzmann equation for photons in the tightly coupled limit:

General version:

$$\dot{\Theta} + ik\mu\Theta = -\dot{\Phi} - ik\mu\Psi + n_e\sigma_T a [\Theta_0 - \Theta + \mu\mathbf{v}_b]$$

Equating l.h. and r.h. sides:

$$-2i\dot{\Theta}_1 + 2ik\left(\frac{1}{3}\Theta_0 - \frac{2}{3}\Theta_2\right) = -\frac{2}{3}ik\Psi - \dot{\tau}\left[2i\Theta_1 + \frac{2}{3}v_b\right]$$

Dividing by  $2i$  and dropping the  $\Theta_2$  term:

$$\dot{\Theta}_1 - \frac{k}{3}\Theta_0 = \frac{k\Psi}{3} + \dot{\tau}\left[\Theta_1 - \frac{iv_b}{3}\right]$$

# Acoustic oscillations

The Boltzmann equation for photons in the tightly coupled limit:

$$\dot{\Theta}_0 + k\Theta_1 = -\dot{\Phi}$$

$$\dot{\Theta}_1 - \frac{k}{3}\Theta_0 = \frac{k\Psi}{3} + \dot{\tau} \left[ \Theta_1 - \frac{iv_b}{3} \right]$$

Two equations for  $\Theta_0$  and  $\Theta_1$  and their derivatives.

We would like to have a single equation for each multipole (and eliminate  $v_b$ ).

For  $v_b$ , invoke the B.E. for baryons:

# The Boltzmann equations

- **Photons:**

$$\dot{\tilde{\Theta}} + ik\mu\tilde{\Theta} + \dot{\tilde{\Phi}} + ik\mu\tilde{\Psi} = n_e\sigma_T a \left[ \tilde{\Theta}_0 - \tilde{\Theta} + \mu\mathbf{v}_b \right]$$

- **(Cold) dark matter:** no collision terms; particles are non-relativistic.

Density fluctuations:

$$\dot{\tilde{\delta}} + ik\tilde{v} + 3\dot{\tilde{\Phi}} = 0$$

Velocity field:

$$\dot{\tilde{v}} + \frac{\dot{a}}{a}\tilde{v} + ik\tilde{\Psi} = 0$$

- **Baryons:** Collision terms from Coulomb scattering;

$$\dot{\tilde{\delta}}_b + ik\tilde{v}_b + 3\dot{\tilde{\Phi}} = 0$$

$$\dot{\tilde{v}}_b + \frac{\dot{a}}{a}\tilde{v}_b + ik\tilde{\Psi} = n_e\sigma_T a \frac{4\rho_\gamma}{3\rho_b} \left[ 3i\tilde{\Theta}_1 + \tilde{v}_b \right]$$

- **Neutrinos:** similar to photons, but no collision terms